SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addre Gerhardt Bru	ess of Reporting Pers <u>1Ce</u>	son*	2. Issuer Name and Ticker or Trading Symbol BIOCEPT INC [BIOC]		tionship of Reporting Pe all applicable) Director	rson(s) to Issuer 10% Owner
(Last) C/O BIOCEPT,	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/13/2014		Officer (give title below)	Other (specify below)
5810 NANCY	RIDGE DRIVE		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	ng (Check Applicable	
(Street) SAN DIEGO	CA	92121		X	Form filed by One Rep Form filed by More that Person	Ū.
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Ex		Expiration Da	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Options (right to buy) ⁽¹⁾	\$8.88	02/13/2014		A		23,000		(2)	02/13/2024	Common Stock	23,000	\$0.00	23,000	D	

Explanation of Responses:

1. Granted pursuant to equity incentive plan.

2. These options are becoming exercisable in three equal annual installments from a vesting commencement date of February 13, 2014, provided that if a Change in Control occurs during the Reporting Person's Continuous Service, then 100% of the unvested shares shall vest as of the date of such Change in Control (as those terms are defined in the Reporting Person's option agreement).

Remarks:

/s/ William G. Kachioff,

02/18/2014

Attorney-in-Fact for Bruce E. Gerhardt

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.