FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>HALE DAVID F</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol BIOCEPT INC [ BIOC ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
								Dioc ]				X Directo	r		10% Ow	ner	
(Last)	(Fi	rst)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year)							X Officer (give title below)			Other (specify below)		
C/O BIOCEPT, INC.					02/13/2014							CHAIRMAN					
5810 NANCY RIDGE DRIVE																	
					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable					
(Street)												Line)					
SAN DIEGO CA 92121												X Form filed by One Reporting Person Form filed by More than One Reporting					
(City)	(5)	toto)	(7in)		Form filed by M Person									than (	One Report	ing	
(City)	(5)	tate)	(Zip)														
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3)  2. Transar Date (Month/Da					ear) i	2A. Deeme Execution f any Month/Da	Date	Code (Ins				Beneficia Owned F	es Form ally (D) o following (I) (Ir		Direct of Endirect Etr. 4)	7. Nature of Indirect Beneficial Ownership	
								Code V	Amount	(A) or (D)	Price	Reported Transact (Instr. 3 a	ion(s)			Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
			(e.(	g., puts,	calls	s, warra	ants	, options,	converti								
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution Date, or Exercise (Month/Day/Year) if any			Code		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour of Securities Underlying Derivative Securit (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y G	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
Stock Options (right to buy) <sup>(1)</sup>	\$8.88	02/13/2014		A		70,000		(2)	02/13/2024	Common Stock	70,000	\$0.00	70,000		D		

## **Explanation of Responses:**

- 1. Granted pursuant to equity incentive plan.
- 2. These options are becoming exercisable in three equal annual installments from a vesting commencement date of February 13, 2014, provided that if a Change in Control occurs during the Reporting Person's Continuous Service, then 100% of the unvested shares shall vest as of the date of such Change in Control (as those terms are defined in the Reporting Person's option agreement).

## Remarks:

/s/ William G. Kachioff,

Attorney-in-Fact for David F.

Hale

\*\* Signature of Reporting Person

02/18/2014

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.